

Washington State Medical Association/Emergency Medicine Foundation

Better Prescribing Better Treatment Program – Impact Research Grant

Request for Proposal

Please read these instructions carefully. Applications that do not follow these instructions with regards to type size, length, format, and supporting documentation will be summarily rejected. No extension of the deadline will be granted.

Before submitting your application, please be sure that the following items have been addressed:

- Information page is included as the first page of the application packet and is fully completed (sample attached).
- Type size is 12 pt. font, single-spaced and margins are 1 inch
- Evidence of IRB approval, or at least evidence of submission to IRB, from each institution, is included in application packet (for multi-centered studies, approval from or evidence of submission to IRB/AUC for all sites is required)
- Clearly stated research hypothesis
- Statement of Conditions is signed by **Principal Investigator** and **Institutional Official** and is included in application packet (see last page of this RFP)
- Letter of support from Emergency Medicine Chair is included in application
- Letter of support from a preceptor/mentor is included in application (if applicable)
- Other grant support for all investigators is included in application
- Submission via the on-line application system is required. Late applications will not be considered. Submit application at <https://emfoundation.aibs-scores.org>.

Washington State Medical Association/ Emergency Medicine Foundation

Better Prescribing Better Treatment Program – Impact Research Grant

Application Deadline	February 7, 2020
Notification of Award	June 2020
Funding Period	July 2020 – June 2022
Funding Amount Per Award	\$15,000
Number of Awards	One

BACKGROUND

The Washington State Medical Association (WSMA) is partnering with the Emergency Medicine Foundation to study the impact of the Better Prescribing Better Treatment program (BPBT) for improving opioid prescribing. In November 2017, the WSMA—in concert with the Washington State Hospital Association and the Health Care Authority—established the BPBT program to change prescribing patterns in Washington State.

BPBT included new guidelines for acute prescribing that recommended a limited number of pills based upon three days for pediatrics (20 years old and younger) and fewer than seven days for adults (21+ years of age). That recommended guideline was also based upon an average of six pills per day for a total limit of 18 and 42 tablets respectively. Should an exemption be required, an Expedited Prior Authorization Program offers providers the option to write “exempt” on the prescription or to notify the pharmacy of the exemption. Further, BPBT established a mechanism that allowed the WSMA to provide feedback reports to physicians comparing their compliance with the new acute guidelines to that of their peers in their specialty in their organization. Providers receive quarterly feedback reports customized for their specialty and system.

In December 2017, the feedback program launched with six facilities participating. During 2018, the program enrolled more than 20,000 providers with 40+ organizations, and now represents a majority of prescribers in the state. The BPBT program significantly reduced acute prescribing across these systems with preliminary data showing increasing improvement based upon the timing of enrollment.

Data available: The initial data set looked at the subset of the Prescription Monitoring Database specific to Medicaid clients. The data set is expanding and will include historical prescribing for approximately five years for all payor types of patients in the state. The patient’s identifying information is removed, but the link to the individual provider continues to exist. From there, the individual systems supply their provider list and that is matched to the data set to get the information on the overall prescribing data. **WSMA will provide the grantee access to the data free of charge.**

RESEARCH TOPICS

This grant will fund an external validation study of the data set to evaluate the impact of the program with the following questions:

1. Did the BPBT program reduce the prescribing of opioids for acute uses in Washington State?

2. Which aspect of the BPBT had an impact on improving health outcomes? Did the guidelines and payment policy with the EPA have an impact? Did the opioid prescribing feedback reports have an additional impact?
3. Was there a difference in outcomes by timing of enrollment or other system variables?

Analyze the data by (groups):

- All patients
- Apple Health (Medicaid) clients (from HCA data)
- Participating facilities by cohort

Interventions:

- BPBT guidelines
- BPBT guidelines plus feedback reports

Statistics:

- Change in the *total number of acute opioid prescriptions* written to Apple Health patients that exceed BPBT's pill limit policy (7-day supply adult & 3-day supply 20 years old and under)
- Change in the *total number of pills/doses prescribed* to Apple Health patients that exceed BPBT's pill limit policy 18 tablets for pediatrics and 42 tablets for adults
- Change in the *total number of acute pain prescriptions* written to Apple Health patients.
- Change in the *total number of pills/doses prescribed to Apple Health patients* for treatment of acute pain.
- Change in Apple Health patients *converting to chronic pain treatment after initial script*

ELIGIBILITY AND QUALIFICATIONS OF THE INVESTIGATORS

The principal investigator may be a physician with a primary faculty appointment in emergency medicine or a resident, fellow or trainee emergency physician.

A resident principal investigator must submit a **letter of support from a preceptor** at the applicant's institution. This letter must describe the preceptor's and the resident's roles and responsibilities in the proposed project. The preceptor must hold a MD, DO, PhD or equivalent degree. The preceptor must have proven ability to pursue independent research as evidenced by original research publications in peer-reviewed journals and/or funding from extramural sources. The preceptor may be in any department within the applicant's institution.

The principal investigator will make all arrangements for conduct of the proposed research projects and assumes responsibility for conducting the research projects and supervising the work of all associate investigators.

INSTITUTIONAL SUPPORT

The applicant is required to demonstrate that the project will be successfully completed at their institution. The applicant must demonstrate that access to a suitable caseload, patient population or database will be available for study during the funding period. **Research must be approved by the institutional review board (IRB), or its equivalent, and a copy of the approval or pending approval sent with this application. IRB approval must be documented prior to dispensation of EMF funds.**

The applicant must also submit a letter from the Chair/Director of Emergency Medicine stating that adequate funds and time will be available to the applicant to complete the proposed project.

EVALUATION OF APPLICATIONS

Each application will be reviewed by the Scientific Review Subcommittee (SRS), emergency medicine specialists who are actively involved in basic science and clinical research. Each application will be judged

primarily on: (1) the significance of the project to improve emergency medicine, (2) the soundness of the research methodology, (3) the likelihood the project will be completed, and (4) innovation. The final funding decision will be made by the Emergency Medicine Foundation Board of Trustees and all decisions are final.

Both scientific review and awarding decisions will be made independent of the Washington State Medical Association.

TERMS OF THE AWARD

The grant funds will be disbursed semi-annually over the two-year cycle. Disbursement of payments will be contingent upon satisfactory progress reports.

Limitations on Awards

Funds may be used for materials and supplies and to provide salary support. Capital equipment expenditures (costing more than \$5,000 and a life of over one year) must be justified in the budget. Payments will be made to the principal investigator's institution that will be responsible for administering the funds. **The Emergency Medicine Foundation will not be responsible for institutional overhead, cost for publications, travel, renovations, or secretarial support.** Detailed audited financial reports may be required. The EMF is not fiscally responsible for funds necessary for the project's completion.

Change of Status of Principal Investigator

If the principal investigator changes affiliations or ceases research in the field for which the award was made, the award will terminate, and the remaining balance will be returned to the Emergency Medicine Foundation.

Liability of Washington State Medical Association and Emergency Medicine Foundation

Washington State Medical Association and EMF assume no financial liability if patient care responsibilities of any kind are undertaken by the program faculty or investigator. The principal investigator and his or her institution acknowledge that Washington State Medical Association and EMF are not legally liable for the conduct of the institution, the principal investigator, the program faculty, or any associate investigators.

Patent Policy

The principal investigator and institution acknowledge that, though unlikely, if a patentable invention or discovery is conceived, or conceived and reduced to practice by EMF-supported personnel during the award year, EMF must be apprised of the invention and the institution's plans for protecting such invention under existing institutional patent policy. EMF will defer to institutional policies when they are in compliance with those of the Federal government. EMF reserves the right where the organization has no patent policy, or policies not in compliance with those of the federal government, to claim rights and interests in the invention or discovery.

SUPPORT FACILITIES

The applicant must submit letters of support if the proposed project uses facilities not routinely available to or directly under the supervision of the sponsoring program.

PUBLICATIONS

All discoveries resulting from work supported in part by Washington State Medical Association and EMF should be made available to the public and scientific community through scientific and/or public policy channels such as national meetings and peer-reviewed publications. Publications will acknowledge the support of Washington State Medical Association and the Emergency Medicine Foundation. Two electronic reprints of each publication will be forwarded to the Emergency Medicine Foundation.

PROGRESS REPORTS AND MONEY MANAGEMENT

The principal investigator will submit 3 six-month progress report and a final progress report within thirty days of the conclusion of the award year. Additional reports may be required. Failure to provide the reports will delay transmission of funds. Furthermore, failure to provide interim and final reports to EMF may negatively impact your institution's ability to apply for future EMF awards. EMF will maintain the copyright of all such reports. Progress reports must include an accounting report using Generally Accepted Accounting Procedures showing the distribution of funds with a signature from an institutional official (e.g., accountant, grants manager, administrator from the Office of Sponsored Research). EMF reserves the right to withhold release of interim funds if >25% of the previous cycle remains unspent. The EMF allows up to 25% of funds to be carried over from one cycle to the next.

SURVEYS

The principal investigator and the institution will be surveyed periodically following completion of the award regarding career paths, subsequent grants/contracts obtained, and publications. The principal investigator and the institution will be expected to respond to these surveys as EMF will rely on such information to support continuation of the award program.

ABSTRACT PRESENTATION – ACEP RESEARCH FORUM

Awardees are required to present their work at the American College of Emergency Physicians (ACEP) Scientific Assembly/Research Forum immediately following the completion of the award. At the time of submission, abstracts must not have been accepted for publication in any journal. Also, abstracts may not be presented at any U.S. nationwide emergency medicine meeting or major international emergency medicine meeting prior to the ACEP Scientific Assembly. Presentation at non-emergency medicine meetings is allowed after presentation at ACEP. Funds cannot be requested to cover the travel cost to attend the Research Forum.

EMF GRANTEE WORKSHOP

Awardees are expected to attend a grantee workshop in Bethesda, MD. The workshop is designed to bring together EMF grant recipients to present their progress and discuss any problems they may be facing. Senior researchers and faculty will be available to help solve problems, such as enrollment efforts, managing staff and life-work balance. NIH program officers participate in this workshop to discuss funding opportunities, provide research career advice and network with the grantees. Travel expenses will be reimbursed by the Emergency Medicine Foundation.

CONTACT INFORMATION

Please address questions to Cynthia Singh, MS, Deputy Executive Director at csingh@acep.org.

APPLICATION INSTRUCTIONS

Do **not** submit an incomplete application. **An application will be considered incomplete if it is illegible, if it fails to follow instructions, or if the material presented is insufficient to permit an adequate review.** Unless specifically required by these instructions (e.g. human subjects certification, vertebrate animals verification) do **not** send supplementary material.

The application consists of the following sections:

1. INFORMATION PAGE

Name the **one** person responsible to the applicant organization for the scientific and technical direction of the project. Choose a title that is descriptive and specifically appropriate, rather than general. List the mentor and any associate investigators. (See sample below)

2. ABSTRACT (limit 1 page)

Provide a summary of research proposal and career development plan. Include rationale, research hypothesis, specific aims, and significance.

3. TABLE OF CONTENTS

4. RESEARCH PROPOSAL (limit 6 pages)

Please use the following subheadings:

Specific Aims

- State concisely the goals of the proposed research and summarize the expected outcome(s), including the impact that the results of the proposed research will exert on the research field(s) involved.
- List succinctly the specific objectives of the research proposed, e.g., to test a stated hypothesis, create a novel design, solve a specific problem, challenge an existing paradigm or clinical practice, address a critical barrier to progress in the field, or develop new technology.

Specific Aims are limited to one page.

Significance

- Explain the impact of the condition on the health of individuals and populations.
- Explain the potential for the study to improve healthcare and outcomes.
- Describe how the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field will be changed if the proposed aims are achieved.

Innovation

- Explain any refinements, improvements, or new applications of theoretical concepts, approaches or methodologies, instrumentation, or interventions.

Approach

- Describe overall strategy, methodology, and analyses to be used to accomplish specific aims of project.
- Discuss potential problems, alternative strategies, and benchmarks for success anticipated to achieve the aims.
- If the project is in the early stages of development, describe any strategy to establish feasibility, and address the management of any high-risk aspects of the proposed work.
- Include information on Preliminary Studies. Discuss the PI's preliminary studies, data, and or experience pertinent to this application. Preliminary data can be an essential part of a research grant application and help to establish the likelihood of success of the proposed project.

5. PERSONAL STATEMENT (limit 1 page)

The applicant should compose and submit a personal statement that addresses:

- a. the applicant's interest in the topic and this project
- b. the applicant's perception of his/her role in the project
- c. any additional pertinent experience or interests the applicant wishes the reviewers to consider

6. ROLE OF PARTICIPANTS (limit 1 page)

List the principal investigator, co-investigator, mentor and others involved in the research. Include a brief description of how and to what extent each will be involved in the proposed project.

7. BIOGRAPHICAL SKETCHES

Use the NIH Biographical Sketch Format Page available on the internet at <https://grants.nih.gov/grants/forms/biosketch.htm> Information is requested for the applicant, mentor and any associate investigators who will be involved with the projects.

8. RESOURCES AND ENVIRONMENT

Describe the research facilities (laboratory space, clinical population, etc.) available for fellowship training. If computer access or statistical support is available, it should be described in this section.

9. BUDGET

Use the NIH Form Detailed Budget for Initial Budget Period available at www.grants.nih.gov/grants/funding/phs398/phs398.html# Indicate how the money will be spent. Justify all major expenditures. Use the current NIH salary cap. **Institutional overhead is not allowed.**

10. OTHER SUPPORT

List all current and pending intramural and extramural research funding for the applicant, mentor and co-investigators. For each item indicate the grant identification number, grant type, PI, funding source, annual direct costs, funding period, percent effort, grant title, and brief description of project. For all items indicate whether there is any scientific or budgetary overlap with the current proposal.

11. ETHICS

Human subjects. For all research involving human subjects, a part of the peer review process will include careful consideration of protections from research risks, as well as the appropriate inclusion of women, minorities, and children. The EMF Scientific Review Subcommittee (SRS) will assess the adequacy of safeguards of the rights and welfare of research participants, and the appropriate inclusion of women, minorities, and children, based on the information in the application. This evaluation will be factored into the overall score. The information on the protection of human subjects that you are required to provide in this section is identical to information that you will be required to provide for IRB at your own institution and are required by most Federal agencies. This section must address the following items. These can be copied and pasted directly into your application.

The applicant should include specific measures on how protected health information (as defined by the Human Health Services) will be handled in accordance with the Privacy Rule of the Health Insurance Portability Accountability Act (HIPAA)."

1. RISKS TO THE SUBJECTS

a. Human Subjects Involvement and Characteristics

Describe the proposed involvement of human subjects in the work outlined in the Research Design and Methods section. Describe the characteristics of the subject population, including their anticipated number, age range, and health status. Identify the criteria for inclusion or exclusion of any subpopulation. Explain the rationale for the involvement of special classes of subjects, such as

fetuses, neonates, pregnant women, children, prisoners, institutionalized individuals, or others who may be considered vulnerable populations. Note that 'prisoners' includes all subjects involuntarily incarcerated (for example, in detention centers) as well as subjects who become incarcerated after the study begins. List any collaborating sites where human subjects research will be performed and describe the role of those sites in performing the proposed research.

b. Sources of Materials

Describe the research material obtained from living human subjects in the form of specimens, records, or data.

Describe any data that will be recorded on the human subjects involved in the project.

Describe the linkages to subjects and indicate who will have access to subject identities.

Provide information about how the specimens, records, or data are collected and whether material or data will be collected specifically for your proposed research project.

c. Potential Risks

Describe the potential risks to subjects (physical, psychological, social, legal, or other), and assess their likelihood and seriousness to the subjects.

Where appropriate, describe alternative treatments and procedures, including the risks and benefits of the alternative treatments and procedures to participants in the proposed research.

2. **ADEQUACY OF PROTECTION AGAINST RISKS**

a. Recruitment and Informed Consent

Describe plans for the recruitment of subjects (where appropriate) and the process for obtaining informed consent. If the proposed studies will include children, describe the process for meeting requirements for parental permission and child assent.

Include a description of the circumstances under which consent will be sought and obtained, who will seek it, the nature of the information to be provided to prospective subjects, and the method of documenting consent. Informed consent document(s) need not be submitted to the PHS agencies unless requested.

b. Protection Against Risk

Describe planned procedures for protecting against or minimizing potential risks, including risks to confidentiality, and assess their likely effectiveness. Where appropriate, discuss plans for ensuring necessary medical or professional intervention in the event of adverse effects to the subjects.

Studies that involve clinical trials (biomedical and behavioral intervention studies) must include a description of the plan for data and safety monitoring of the research and adverse event reporting to ensure the safety of subjects.

3. **POTENTIAL BENEFITS OF THE PROPOSED RESEARCH TO THE SUBJECTS AND OTHERS**

Discuss the potential benefits of the research to the subjects and others.

Discuss why the risks to subjects are reasonable in relation to the anticipated benefits to subjects and others.

4. **IMPORTANCE OF THE KNOWLEDGE TO BE GAINED**

Discuss the importance of the knowledge gained or to be gained as a result of the proposed research.

Discuss why the risks to subjects are reasonable in relation to the importance of the knowledge that reasonably may be expected to result.

5. DATA AND SAFETY MONITORING PLAN (if applicable)

If your research includes a clinical trial, create a heading entitled "Data and Safety Monitoring Plan." Provide a general description of a monitoring plan that you plan to establish as the overall framework for data and safety monitoring.

Vertebrate Animals. For all applications involving vertebrate animals, the applicant must address the following five items. These five points may be copied and pasted directly into the application.

1. Provide a detailed description of the proposed use of the animals in the work outlined in the Research Design and Methods section. Identify the species, strains, ages, sex, and numbers of animals to be used in the proposed work.
2. Justify the use of animals, the choice of species, and the numbers to be used. If animals are in short supply, costly, or to be used in large numbers, provide an additional rationale for their selection and numbers.
3. Provide information on the veterinary care of the animals involved including the name of the supervising veterinarian. Include information from the Association for Assessment and Accreditation of Laboratory Animal Care International: the name of the accredited parent organization (e.g., University of X) and the certificate number and date of last inspection.
4. Describe the procedures for ensuring that discomfort, distress, pain, and injury will be limited to that which is unavoidable in the conduct of scientifically sound research. Describe the use of analgesic, anesthetic, and tranquilizing drugs and/or comfortable restraining devices, where appropriate, to minimize discomfort, distress, pain, and injury.
5. Describe any method of euthanasia to be used and the reasons for its selection. State whether this method is consistent with the recommendations of the Panel on Euthanasia of the American Veterinary Medical Association. If not, present a justification for not following the recommendations

12. LITERATURE CITED

13. APPENDIX

Include letters of support from the department chairs, and associate investigators (required). No page numbering is necessary for Appendix. Do not use appendix to circumvent page limitations for research plans. Do not include experimental methods, protocols or figures that should be incorporated within the research project description.

14. SIGNED STATEMENT OF CONDITIONS (see below)

Principal Investigator (*Last, first, middle*): _____

Table of Contents

_____	Information Page
_____	Abstract
_____	Table of Contents
_____	Research Proposal
_____	Personal Statement
_____	Role of Participants
_____	Biographical Sketch
_____	Resources and Environment
_____	Detailed Budget
_____	Other Support
_____	Ethics
_____	Literature Cited
_____	Appendix
_____	Signed Statement of Conditions

Information Page Sample

Principal Investigator Full Name with Titles:

Mentor Full Name with Titles (if applicable):

Name of Institution:

Grant Category:

Project Title:

Amount Requesting:

Principal Investigator (*Last, first, middle*)

STATEMENT OF CONDITIONS GOVERNING THE EMERGENCY MEDICINE FOUNDATION GRANT

It is understood that any Emergency Medicine Foundation Research Grant approved by the Emergency Medicine Foundation will be made with the following conditions:

1. Institutional overhead is not allowed.
2. The principal investigator's institution is organized for humanitarian purposes and is not a profit-making organization.
3. All reports of work achieved with this grant will acknowledge the support of the Emergency Medicine Foundation and any co-sponsors.
4. Any discovery that arises from work supported by the Emergency Medicine Foundation will be submitted for publication. Two electronic reprints of each electronic publication will be forwarded to the Emergency Medicine Foundation.
5. Independent progress reports by the applicant will be submitted to the Emergency Medicine Foundation mid-project, and within thirty days of completion of the funding period. Additional reports may be required. The Emergency Medicine Foundation will maintain the copyright of all such reports.
6. Participation in the Emergency Medicine Foundation Grantee Workshop is expected. The Emergency Medicine Foundation will reimburse travel expenses.
7. Grantees must submit the abstract of the funded project to the ACEP Research Forum at the end of the project. Research Forum is held each year during the American College of Emergency Physicians Scientific Assembly. Grant funds may not be used for travel to the Research Forum.
8. If the named principal investigator leaves the institution or terminates research in the designated field, all remaining funds revert to the Emergency Medicine Foundation. If unused funds exist at the completion of the project, all remaining funds revert to the Emergency Medicine Foundation.
9. Patent rights will conform to institutional standards. If none exist, the Emergency Medicine Foundation reserves the right to protect such interests.
10. No research proposal will be funded unless the Principal Investigator and the Institutional Official of the sponsoring institution affirm:
 - a. That the investigation(s) proposed in this application are endorsed by the Animal and/or Human Subjects Committee or other designated body of the preceptor's institution.
 - b. That any research involving human subjects conforms with the principles of the Helsinki Code of the World Medical Association.
 - c. Research involving animals or human subjects must be approved by the institutional review board (IRB), or its equivalent, and a copy of the approval or pending approval sent with this application. IRB approval must be documented prior to dispensation of Emergency Medicine Foundation funds.
 - d. That research involving vertebrate animals will conform with the "Guiding Principles in the Care and Use of Animals" as approved by the Council of the American Physiological Society.
 - e. Research involving vertebrate animals must have approval from the Institutional Animal Care and Use Committee.

Date Signature of Principal Investigator

Type Name of Principal Investigator

Date Signature of Mentor, if applicable

Type Name of Mentor, if applicable

Date Signature of Institutional Official

Type Name of Institutional Official